FINANCIAL CONDUCT AUTHORITY

CCR009 Data Flow Diagram

Credit broking (Principal with Appointed Representatives)

v2.0

31 July 2025

This document has been prepared as an aid to understand the rules set out in SUP 16 Annex 38CR for CCR009. It is a visual representation of those rules and branching logic.

Credit broking (Principal with Appointed Representatives)

Version Control: Credit Broking (Principal with Appointed Representatives)

Version	Description	Date
1.0	Initial data flow diagram for Credit Broking (Principal with Appointed Representatives) following publication of PS25/3	16/05/2025
2.0	The following changes have been made: - All connectors with descriptions have been updated to provided more clarity of what and when it is required (mainly using ONLY, NOT ONLY, INCLUDES, DOES NOT INCLUDE). - Separated the flow following 101A where the response is ONLY E or ONLY X enhancing clarity based on these responses - Separated the flow following 105A where the response is ONLY A or ONLY C to provide more clarity based on these responses - Where 105A is only C: Credit agreements secured on land, return now ends	31/07/2025